# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the U.S. Patent Application of: )

Inventor(s): Gilbreth et al. )

Serial No.: not assigned )

Filed : concurrently )

Examiner: not assigned )

Art Unit: 2834 )

For: AUTOMATIC TURBOGENERATOR RESTARTING SYSTEM AND METHOD )

# PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

#### Sir:

This Preliminary Amendment is filed concurrently with the above-identified Divisional Application. Preliminary to examining the Application, please enter the following amendments.

## IN THE CLAIMS

Please cancel original claims 1-36. A full set of all pending claims are presented here for the Examiner's convenience.

- 1 37. A permanent magnet turbogenerator/motor restarting
- 2 system, comprising:
- 3 means for determining that the permanent magnet
- 4 turbogenerator/motor has a fatal fault present and is in the
- 5 process of shutting down;
- 6 means for determining that the permanent magnet
- 7 turbogenerator/motor has more than a fixed number of restart
- 8 attempts since the permanent magnet turbogenerator/motor was
- 9 determined to have a fatal fault; and
- means to continue shutdown of the permanent magnet
- 11 turbogenerator/motor.
- 1 38. A permanent magnet turbogenerator/motor restarting
- 2 system, comprising:
- means for determining that the permanent magnet
- 4 turbogenerator/motor has a fatal fault present and is in the
- 5 process of shutting down;
- 6 means for determining that the permanent magnet
- 7 turbogenerator/motor has less than a fixed number of restart
- 8 attempts since the permanent magnet turbogenerator/motor was
- 9 determined to have a fatal fault;
- 10 determining that the permanent magnet
- 11 turbogenerator/motor is in a recharge state where an internal
- 12 energy storage device is being recharged as part of the
- 13 shutdown process;
- means for determining that a fixed period of time has
- 15 elapsed since any previous attempt to restart the permanent
- 16 magnet turbogenerator/motor;
- means to attempt to clear the fault present in the
- 18 permanent magnet turbogenerator/motor;

- means to issue a restart command to the permanent magnet
- 20 turbogenerator/motor if the fatal fault is successfully
- 21 cleared;
- means to continue normal operation of the permanent
- 23 magnet turbogenerator/motor.
  - 1 39. A permanent magnet turbogenerator/motor restarting
  - 2 system, comprising:
  - means for determining that the permanent magnet
- 4 turbogenerator/motor has a fatal present and is in the
- 5 process of shutting down;:
- 6 means for determining that the permanent magnet
- 7 turbogenerator/motor has less than a fixed number of restart
- 8 attempts since the permanent magnet turbogenerator/motor was
- 9 determined to have a fatal fault;
- means for determining that the permanent magnet
- 11 turbogenerator/motor is in a cooldown state where the
- 12 turbogenerator/motor is being rotated when combustion has
- 13 ceased to lower the internal temperature as part of the
- 14 shutdown process and that the internal temperature is below a
- 15 cooldown restart temperature;
- means for determining that a fixed period of time has
- 17 elapsed since any previous attempt to restart the permanent
- 18 magnet turbogenerator/motor;
- 19 means to attempt to clear the fault present in the
- 20 permanent magnet turbogenerator/motor;
- 21 means to issue a restart command to the permanent magnet
- 22 turbogenerator/motor if the fatal fault is successfully
- 23 cleared; and
- 24 means to continue normal operation of the permanent
- 25 magnet turbogenerator/motor.

- 1 40. A permanent magnet turbogenerator/motor restarting
- 2 system, comprising:
- means for determining that the permanent magnet
- 4 turbogenerator/motor has a fatal fault present and is in the
- 5 process of shutting down;
- 6 means for determining that the permanent magnet
- 7 turbogenerator/motor has less than a fixed number of restart
- 8 attempts since the permanent magnet turbogenerator/motor was
- 9 determined to have a fatal fault;
- means for determining that the permanent magnet
- 11 turbogenerator/motor is in a fault state;
- means for determining that a fixed period of time has
- 13 elapsed since any previous attempt to restart the permanent
- 14 magnet turbogenerator/motor;
- means to attempt to clear the fault present in the
- 16 permanent magnet turbogenerator/motor;
- means to issue a restart command to the permanent magnet
- 18 turbogenerator/motor if the fatal fault is successfully
- 19 cleared; and
- 20 means to continue normal operation of the permanent
- 21 magnet turbogenerator/motor.
  - 1 41. A permanent magnet turbogenerator/motor restarting
  - 2 system, comprising:
  - means for determining that the permanent magnet
  - 4 turbogenerator/motor has a fatal fault present and is in the
  - 5 process of shutting down;
  - 6 means for determining that the permanent magnet:
  - 7 turbogenerator/motor has less than a fixed number of restart
  - 8 attempts since the permanent magnet turbogenerator/motor was
  - 9 determined to have a fatal fault;

- means for determining that the permanent magnet
- 11 turbogenerator/motor is in a standby state;
- means to issue a restart command to the permanent magnet
- 13 turbogenerator/motor; and
- means to continue normal operation of the permanent
- 15 magnet turbogenerator/motor.
  - 1 42. A permanent magnet turbogenerator/motor restarting
  - 2 system, comprising:
  - means for determining that the permanent magnet
  - 4 turbogenerator/motor has a fatal fault present and is in the
  - 5 process of shutting down;
- 6 means for determining that the permanent magnet
- 7 turbogenerator/motor has less than a fixed number of restart
- 8 attempts since the permanent magnet turbogenerator/motor was
- 9 determined to have a fatal fault;
- 10 determining that the permanent magnet
- 11 turbogenerator/motor is in a recharge state where an internal
- 12 energy storage device is being recharged as part of the
- 13 shutdown process;
- means for determining that a fixed period of time has
- 15 not elapsed since any previous attempt to restart the
- 16 permanent magnet turbogenerator/motor;
- means to continue shutdown of the permanent magnet
- 18 turbogenerator/motor.
  - 1 43. A permanent magnet turbogenerator/motor restarting
  - 2 system, comprising:
  - means for determining that the permanent magnet
  - 4 turbogenerator/motor has a fatal fault present and is in the
  - 5 process of shutting down;

- 6 means for determining that the permanent magnet
- 7 turbogenerator/motor has less than a fixed number of restart
- 8 attempts since the permanent magnet turbogenerator/motor was
- 9 determined to have a fatal fault;
- means for determining that the permanent magnet
- 11 turbogenerator/motor is in a cooldown state where the
- 12 turbogenerator/motor is being rotated when combustion has
- 13 ceased to lower the internal temperature as part of the
- 14 shutdown process and that the internal temperature is below a
- 15 cooldown restart temperature;
- means for determining that a fixed period of time has
- 17 elapsed since any previous attempt to restart the permanent
- 18 magnet turbogenerator/motor;
- means to attempt to clear the fault present in the
- 20 permanent magnet turbogenerator/motor;
- 21 and
- means to continue shutdown of the permanent magnet
- 23 turbogenerator/motor when the fault is not cleared.
  - 1 44. A permanent magnet turbogenerator/motor restarting
  - 2 system, comprising:
  - 3 means for determining that the permanent magnet
  - 4 turbogenerator/motor has a fatal fault present and is in the
  - 5 process of shutting down.
  - 6 means for determining that the permanent magnet
  - 7 turbogenerator/motor has less than a fixed number of restart
  - 8 attempts since the permanent magnet turbogenerator/motor was
  - 9 determined to have a fatal fault;
- means for determining that the permanent magnet
- 11 turbogenerator/motor is in a fault state;

- means for determining that a fixed period of time has
- 13 elapsed since any previous attempt to restart the permanent
- 14 magnet turbogenerator/motor;
- means to attempt to clear the fault present in the
- 16 permanent magnet turbogenerator/motor; and
- means to continue shutdown of the permanent magnet
- 18 turbogenerator/motor when the fault is not cleared.
  - 1 45. The permanent magnet turbogenerator/motor
  - 2 restarting system of claim 44 wherein said means for
  - 3 determining that the permanent magnet turbogenerator/motor
  - 4 has a fatal fault present and is in the process of shutting
- 5 down, comprises:
- 6 means for detecting no output over-current;
- means for detecting a loss of output current control or
- 8 a loss of DC bus voltage control;
- 9 means for determining that less than a fixed number of
- 10 warning faults has occurred within a fixed period of time;
- means for reporting a grid unbalance warning fault;
- means for disabling the output power converter of the
- 13 permanent magnet turbogenerator/motor;
- 14 means for analyzing the grid voltage magnitude and
- 15 frequency for an unacceptable connection;
- means for determining that the maximum allowable
- 17 reconnection time has not expired;
- means for determining that the DC bus level is not below
- 19 the turn on point of the brake resistor,
- 20 means for applying the brake resistor to control DC bus
- 21 voltage;
- means for determining that the grid is acceptable for
- 23 connection; and

24 means for enabling the output power converter of the

25 permanent magnet turbogenerator/motor to continue normal

26 operation of the permanent magnet turbogenerator/motor.

## REMARKS

This is a Divisional Application of serial no. 09/444,487 filed on November 19, 1999. In the original application, restriction to one of the following inventions was required under 35 U.S.C. 121.

- I. Claims 1-23, drawn to a method of restarting a turbogenerator.
- II. Claims 24-36, drawn to a method of fault detection.
- III. Claims 37-45, drawn to a permanent magnet turbogenerator/motor restarting system.

A provisional election was made without traverse to prosecute the invention of Group I, claims 1-23. Claims 24-45 were canceled by Examiner's amendment.

In this application, the invention of Group III consisting of claims 37-45 is presented. In a separate divisional filed concurrently, the claims directed to invention of Group II are submitted. No new matter has been introduced by this Preliminary Amendment.

Respectfully Submitted,

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